Agenda ID #14080 (Rev. 1) Ratesetting 7/23/2015 Item 36

Decision PROPOSED DECISION OF ALJ ROSCOW (Mailed 6/18/2015)

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Application of Pacific Gas and Electric Company (U39E) for Approval of its 2012 Rate Design Window Proposals.

Application 12-02-020 (Filed February 29, 2012)

DECISION AWARDING INTERVENOR COMPENSATION TO CENTER FOR ACCESSIBLE TECHNOLOGY FOR SUBSTANTIAL CONTRIBUTION TO DECISION 14-06-037

Intervenor: Center for Accessible Technology (CforAT)	For contribution to Decision (D.) 14-06-037
Claimed: \$37,019.00	Awarded: \$19,690.00
Assigned Commissioner: Michael Picker	Assigned ALJ: Stephen C. Roscow

PART I: PROCEDURAL ISSUES

A. Brief description of Decision:	Approves a number of uncontested rate design proposals made by Pacific Gas & Electric Company in its 2012 Rate Design Window application and reviews compliance items regarding prior Commission orders that PG&E included in its application. Transfers other issues to alternate proceeding. Closes proceeding.

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B. Intervenor must satisfy intervenor compensation requirements set forth in Pub. Util. Code §§ 1801-1812:

	Intervenor	CPUC Verified			
Timely filing of notice of intent to clai	m compensation (NOI)	(§ 1804(a)):			
1. Date of Prehearing Conference (PHC):	May 14, 2012	Verified.			
2. Other specified date for NOI:	N/A				
3. Date NOI filed:	June 11, 2012	Verified.			
4. Was the NOI timely filed?		Yes.			
Showing of customer or custom	er-related status (§ 180	2(b)):			
5. Based on ALJ ruling issued in proceeding number:	A.14-04-013	Verified.			
6. Date of ALJ ruling:	8/26/14	Verified.			
7. Based on another CPUC determination (specify):	See comment below				
8. Has the Intervenor demonstrated customer or custor	ner-related status?	Yes.			
Showing of "significant finan	cial hardship" (§ 1802(g)):			
9. Based on ALJ ruling issued in proceeding number:	A.14-04-013	R.12-06-013			
10. Date of ALJ ruling:	8/26/14	02/25/2013			
11. Based on another CPUC determination (specify):	See comment below	Yes.			
12. Has the Intervenor demonstrated significant financi	al hardship?	Yes, the ruling in R.12-06-013 provides CforAT with the requisite showing of significant financial hardship.			
Timely request for comp	Timely request for compensation (§ 1804(c)):				
13. Identify Final Decision:	D.14-06-037	Verified.			
14. Date of issuance of Final Order or Decision:	June 30, 2014.	Verified.			
15. File date of compensation request:	August 28, 2014	Verified.			
16. Was the request for compensation timely?		Yes.			

C. Additional Comments on Part I (use line reference # as appropriate):

#	Intervenor's Comment(s)	CPUC Discussion
	The Commission did not issue a formal ruling in response to CforAT's NOI in this proceeding. CforAT has regularly been found eligible for compensation by the Commission, and the cited ruling in A.14-04-013 (issued after the final decision in this proceeding) is simply the most recent example.	See Comments, above.

PART II: SUBSTANTIAL CONTRIBUTION

A. Did the Intervenor substantially contribute to the final decision (see § 1802(i), § 1803(a), and D.98-04-059).

Intervenor's Claimed Contribution(s)	Specific References to Intervenor's Claimed Contribution(s)	CPUC Discussion
CforAT, in conjunction with the Greenlining Institute (Greenlining), focused on addressing the impact that PG&E's proposed changes to rate design would have on vulnerable consumers, including many consumers with disabilities. This focus was evident in all filings, in the joint testimony provided by CforAT/Greenlining, at hearing, and in briefing.	See Protest of the Greenlining Institute and the Center for Accessible Technology (Joint Protest), filed on April 3, 2012; Prepared Testimony of Stephanie Chen on behalf of the Greenlining Institute and the Center for Accessible Technology (entered into the record as Exhibit Greenlining-01); Joint Opening Brief of the Greenlining Institute and the Center for Accessible Technology (Joint Opening Brief), filed on November 2, 2012, and the Joint Reply Brief of the Greenlining Institute and the Center for Accessible	Verified.
Specifically, CforAT, in conjunction with Greenlining, addressed the impact that the proposed changes to rate design incorporated in PG&E's application would have on the affordability of necessary supplies of electricity for vulnerable customers, including low-income and low-usage customers. CforAT and Greenlining analyzed the	Technology (Joint Reply Brief), filed on November 16, 2012.	

eventually determined that it

issues on the merits. Rather,

the contested issues in the

proceeding, including any

would not decide the contested

impact of the application as an independent proposal as well as the cumulative impact of the proposals contained in the application, in conjunction with other recent changes to rate design in other Commission proceedings. This focus on affordability was squarely within the scope of the proceeding, addressing the proposals made by PG&E in view of the legal requirements for rate design. This was reaffirmed in the Scoping Memo, issued on July 5, 2012, which noted that "Greenlining/CforAT's protest focuses on PG&E's proposal to reduce the baseline allowance to 50% of average usage; Greenlining/CforAT intend to examine the impact of this proposal on low-income customers and customers who use lower amounts of energy," and then stated that the issued identified within the various intervenors' protests (including specifically Greenlining/CforAT) were within the scope of the proceeding. Scoping Memo at pp. 5-6. After parties fully litigated the While the The Commission has historically issues raised in the application compensated parties that provide Commission (including evidentiary hearings substantive input on issues within the acknowledges and briefs), the Commission scope of a proceeding, even if no CforAT's claimed

substantive decision is issued on the

merits of the issue, particularly if the

reason that no substantive decision is

issued is outside of the control of the

parties. The most recent example of

contribution on these

Commission will not

make a determination

issues, the

in the present

changes in baseline quantities were referred to another docket (R.12-06-013, the Residential Rate Design OIR).

This procedural result does not prevent an award of compensation for work done on issues concerning the impact of rate design on affordability of electricity, which was squarely within the scope of the proceeding. Indeed, these issues were the focus of the litigation, addressed in testimony, hearing and briefing.

Because CforAT's substantive contributions on affordability generally, and issues regarding baseline quantities in particular, were directly responsive to the proposals made in PG&E's Application, CforAT's participation was reasonable and should be compensated.

such an award being granted to CforAT is in D.14-06-026, issued in I.11-06-009, in which CforAT was awarded compensation for work regarding a proposed merger between AT&T and T-Mobile, despite the application being withdrawn before issuance of a decision on the merits.

Here, the final decision explains how a ruling issued in R.12-06-013 determining that changes to PG&E's baseline percentages should be including in that proceeding made the litigation in this proceeding "moot." *See* Third Amended Scoping Ruling in R.12-06-013, issued on April 15, 2014, at p. 4. This decision, issued far after the record was closed in A.12-02-020, could not have been anticipated while litigation was ongoing. The Commission should thus use its discretion to award compensation for all hours sought in this request.

An award of compensation for work within the scope of this proceeding. reasonably performed in expectation of a ruling on the merits, would be consistent not only with D.14-06-026, referenced above, but with multiple other proceedings in which intervenors were provided compensation for work that was reasonably done, even when no substantive decision was issued in the relevant proceeding. See e.g. D.02-03-023, D.02-03-025, D.02-08-061, D.03-05-029, D.04-03-031, D.05-12-038, D.06-06-008, D.06-10-007, D.13-02-032.

proceeding. The Commission encourages CforAT to seek compensation for the work performed on these issues in R.12-06-013.

B. Duplication of Effort (§ 1801.3(f) and § 1802.5):

		Intervenor's Assertion	CPUC Discussion
a.	Was the Office of Ratepayer Advocates (ORA) a party to the proceeding? ¹	Yes	Yes.
b.	Were there other parties to the proceeding with positions similar to yours?	Yes	Yes.
c.	If so, provide name of other parties: The Greenlining Instit ORA	tute, TURN,	Yes.
d.	Intervenor's claim of non-duplication:		Verified.
	CforAT focused on the impact that proposed changes in rate de on the affordability of electricity for vulnerable customers (print exclusively, based on the impact that proposed changes to basel would have on customer bills). CforAT's constituency of peop disabilities are disproportionately low-income, while also highly affordable electricity for essential service, thus this focus addressed of the population we represent. CforAT conducted virtual work in this proceeding, including presentation of testimony, but filings, in conjunction with the Greenlining Institute, which also vulnerable customer groups (primarily low income customers as of color). CforAT and Greenlining coordinated all efforts and complete work efficiently; by performing all substantive work justices were able to avoid duplication that may have taken place. Where limited issues directly impacted our constituency (e.g. Missues), CforAT took the lead. CforAT and Greenlining also coordinated with TURN and ORA appropriate to avoid duplicating work performed by other constituency appropriate to avoid duplicating work performed by other constituency appropriate to avoid duplicating work performed by other constituency appropriate to avoid duplicating work performed by other constituency appropriate to avoid duplicating work performed by other constituency and the summer season addressing potential modifications of the summer season.	narily, though not line quantities le with y dependent on sses the specific ally all substantive riefs, and other or represents and communities divided tasks to sointly, both e filing separately. Medical Baseline A where the third where the third is a separately of the third is a separately. The third is a separately of the third is	

¹ The Division of Ratepayer Advocates was renamed the Office of Ratepayer Advocates effective September 26, 2013, pursuant to Senate Bill No. 96 (Budget Act of 2013: public resources), which was approved by the Governor on September 26, 2013.

PART III: REASONABLENESS OF REQUESTED COMPENSATION

A. General Claim of Reasonableness (§ 1801 and § 1806):

a. Intervenor's claim of cost reasonableness:

CforAT intervened in this proceeding to ensure that changes to PG&E's rate design would not result in unaffordable prices for essential supplies of electricity, particularly for vulnerable customers, including specifically our constituency of customers with disabilities. For low-income customers, including many customers with disabilities, small changes in dollar amounts can have a large impact on affordability. However, customers who rely on CforAT to advocate for their interests before the Commission cannot afford individual representation.

While it is difficult to calculate a financial benefit or direct bill savings to individual customers based on CforAT's participation in this proceeding, the benefits to vulnerable customers of ensuring that their needs are given due consideration is substantial. Given the importance of electricity and the impact of changes to residential rate design on this population, representation in proceedings that impact affordability provides a broad benefit far that outweighs the costs of CforAT's participation.

Given the Commission's obligation to consider affordability in authorizing changes to rate design and the relatively small amount of CforAT's request for intervenor compensation, the Commission should conclude that our overall request is reasonable.

b. Reasonableness of hours claimed:

CforAT spent approximately 80 hours on this proceeding, including discovery, testimony, evidentiary hearing and briefing. Virtually all efforts were conducted in conjunction with Greenlining. This time was spent focused on ensuring that the Commission gave due consideration to protecting affordability of essential supplies of electricity, particularly for vulnerable consumers.

In light of the importance of this issue and the fully-litigated procedural developments of this proceeding, the Commission should find that the number of hours claimed are fully reasonable.

c. Allocation of hours by issue:

CforAT has allocated the time spent in this proceeding as set forth below. The percentages reflect time spent in 2012, the year in which the vast majority of the work was performed. In addition to the work in 2012, as noted in the detailed time records attached, CforAT spent 0.8 hours in 2013 and 1.5 hours in 2014 monitoring the procedural resolution of this proceeding; all such time is classified as "general participation."

Affordability: 40.3 hours in 2012 (51% of total)

This issue allocation includes time spent examining the impact that the proposals

CPUC Discussion

Verified.

Verified, *but see* Disallowances and Adjustments, below.

Verified, although the Commission will not compensate CforAT in this proceeding for the 40.3 hours claimed under "Affordability" as these hours should be claimed in R.12-06-013.

addressing changes to baseline quantities, minimum bills, and other rate design features would have on the affordability of necessary supplies of electricity to vulnerable customers. It includes discovery, strategy, questions regarding Medical Baseline, and other efforts to address the merits of the application.

General Participation: 17.1 hours in 2012 (22 % of total), plus 2.3 hours in 2013/2014 (100% of total)

This issue includes necessary activity to effectively participate in the proceeding, including reviewing Commission documents and filings by other parties, addressing procedural tasks, and participating in activities such as the Prehearing Conference and a workshop where substantive issues were addressed but not easily separated from each other or from procedural matters.

In 2012, the year in which the vast majority of the work was performed in this proceeding, CforAT

Hearing/Briefing: 21.3 hours in 2012 (27% of total)

This issue includes procedural matters directly required in preparation for the 3-day evidentiary hearing, such as scheduling and cross estimates, as well as preparation for and attendance at the hearings, and work on briefing following hearing. CforAT made efforts to identify those time entries in which we were focused on the issue of affordability separately under that issue category (including preparation for cross examination of PG&E's witnesses and preparation for cross-examination of CforAT/Greenlining's joint witness, as well as substantive drafting of the joint opening and reply briefs by CforAT and Greenlining), but much of the work surrounding the hearing necessarily addressed multiple issues. Throughout the hearing itself and the briefing that followed, CforAT's primary focus continued to be affordability.

B. Specific Claim:*

	CLAIMED						PUC Aw	ARD
		ATT	ORNEY, I	EXPERT, AND AD	VOCATE F	EES		
Item	Year	Hours	Rate \$	Basis for Rate*	Total \$	Hours	Rate \$	Total \$
Melissa W. Kasnitz	2012	78.7	\$430	D.13-04-008	\$33,841	38.4	430.00	16,512.00
Melissa W. Kasnitz	2013	0.8	\$440	D.13-11-017	\$352	8.0	440.00	352.00
Melissa W. Kasnitz	2014	1.5	\$450	See comment below	\$675	1.5	450.00 [1]	675.00
	Subtotal: \$ 34,868				S	ubtotal: \$	17,539.00	

	INTERVENOR COMPENSATION CLAIM PREPARATION **							
Item	Year	Hours	Rate \$	Basis for Rate*	Total \$	Hours	Rate	Total \$
Melissa W. Kasnitz	2012	0.9	\$215	½ standard rate	\$193.50	0.9	215.00	193.50
Melissa W. Kasnitz	2014	8.7	\$225	½ requested rate	\$1,957.50	8.7	225.00	1,957.50
	Subtotal: \$2,151 Subtotal: \$2,151.00					: \$2,151.00		
	TOTAL REQUEST: \$ 37,019.00					TOTAL A	AWARD: \$	19,690.00

^{**}We remind all intervenors that Commission staff may audit their records related to the award and that intervenors must make and retain adequate accounting and other documentation to support all claims for intervenor compensation. Intervenor's records should identify specific issues for which it seeks compensation, the actual time spent by each employee or consultant, the applicable hourly rates, fees paid to consultants and any other costs for which compensation was claimed. The records pertaining to an award of compensation shall be retained for at least three years from the date of the final decision making the award.

^{**}Travel and Reasonable Claim preparation time typically compensated at $\frac{1}{2}$ of preparer's normal hourly rate

ATTORNEY INFORMATION					
Attorney	Date Admitted to CA BAR ²	Member Number	Actions Affecting Eligibility (Yes/No?) If "Yes", attach explanation		
Melissa W. Kasnitz	December, 1992	162679	No, but Kasnitz was inactive from January 01, 1993 until January 25, 1995 and from January 01, 1996 until February 19, 1997.		

C. Intervenor's Comments on Part III:

Comment #	CforAT's Comment	
1	Justification for 2014 Rate for Melissa W. Kasnitz: As noted above, Ms. Kasnitz's approved rate for 2013 is \$440 per hour. No COLA or other rate adjustment has yet been authorized for 2014. However, if a 2% COLA, consistent with what was authorized for 2013, is eventually approved, the appropriate adjustment would result in a rate of \$450 for 2014. To the extent that a different rate adjustment is eventually authorized, CforAT requests that the adopted adjustment be applied in place of this estimate. CforAT has	

² This information may be obtained through the State Bar of California's website at http://members.calbar.ca.gov/fal/MemberSearch/QuickSearch.

requested a 2014 rate of \$450 for M	s. Kasnitz in other pending compensation requests in
other proceedings (e.g. R.11-03-01)	3), but no decision has yet issued authorizing such rate.

D. CPUC Disallowances and Adjustments:

Item	Reason
[1]	In Resolution ALJ-303, the Commission adopted a 2014 cost-of-living adjustment (COLA) of 2.58%. Applying the COLA to Kasnitz's 2013 rate, and rounding to the nearest five dollar increment, results in a 2014 rate of \$450, which the Commission now approves.

PART IV: OPPOSITIONS AND COMMENTS

Within 30 days after service of this Claim, Commission Staff or any other party may file a response to the Claim (see § 1804(c))

(CPUC completes the remainder of this form)

A. Opposition: Did any party oppose the Claim?	No.
B. Comment Period: Was the 30-day comment period waived (see Rule 14.6(c)(6))?	No.

If not:

Party	Comment	CPUC Discussion
	No comments were received.	

FINDINGS OF FACT

- 1. Center for Accessible Technology has made a substantial contribution to D.14-06-037.
- 2. The requested hourly rates for Center for Accessible Technology's representatives, as adjusted herein, are comparable to market rates paid to experts and advocates having comparable training and experience and offering similar services.
- 3. The claimed costs and expenses are reasonable and commensurate with the work performed.
- 4. The total of reasonable compensation is \$19,690.00.

CONCLUSION OF LAW

1. The Claim, with any adjustment set forth above, satisfies all requirements of Pub. Util. Code §§ 1801-1812.

ORDER

- 1. Center for Accessible Technology is awarded \$19,690.00.
- 2. Within 30 days of the effective date of this decision, Pacific Gas and Electric Company shall pay Center for Accessible Technology the total award. Payment of the award shall include compound interest at the rate earned on prime, three-month non-financial commercial paper as reported in Federal Reserve Statistical Release H.15, beginning November 11, 2014 the 75th day after the filing of Center for Accessible Technology's request, and continuing until full payment is made.

3.	The comment period for today's decision is not waived.			
	This decision is effective today.			
Dated	, at San Francisco, California.			

APPENDIX Compensation Decision Summary Information

Compensation Decision:		Modifies Decision?	
Contribution	D1406036		
Decision(s):			
Proceeding(s):	A1202020		
Author:	ALJ Roscow		
Payer(s):	Pacific Gas & Electric Company		

Intervenor Information

Intervenor	Claim Date	Amount Requested	Amount Awarded	Multiplier?	Reason Change/Disallowa nce
Center for Accessible Technology	08/28/2014	\$37,019.00	\$19,690.00	N/A	See Disallowances & Adjustments and Contributions.

Advocate Information

First Name	Last Name	Туре	Intervenor	Hourly Fee Requested	Year Hourly Fee Requested	Hourly Fee Adopted
Melissa	Kasnitz	Attorney	CforAT	\$430.00	2012	\$430.00
Melissa	Kasnitz	Attorney	CforAT	\$440.00	2013	\$440.00
Melissa	Kasnitz	Attorney	CforAT	\$450.00	2014	\$450.00